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MCO 4900.3A
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MARINE CORPS ORDER 4900.3A

From: Commandant of the Marine Corps
To: Distribution List

Subj: MARINE CORPS SECURITY ASSISTANCE AND RELATED INTERNATIONAL PROGRAMS

- Ref:
- (a) DOD 5105.38M, Security Assistance Management Manual
 - (b) DOD 7000.14-R Volume 15, Financial Management Regulation, Security Assistance Policy and Procedures
 - (c) DOD 4000.25-7M, Military Standard Billing System (MILSBILLS)
 - (d) DOD 4000.25-1M, Military Standard Requisitioning and Issue Procedures
 - (e) SECNAVINST 4950.4A, Joint Security Assistance Training (JSAT) Regulation
 - (f) DOD 4130.2M, Federal Catalog System Policy Manual
 - (g) SECNAVINST 4355.18, Reporting of Item and Packaging Discrepancies
 - (h) SECNAVINST 4900.46B, The Technology Transfer and Security Assistance Review Board
 - (i) OPNAVINST 4650.11F, Official Temporary Duty Travel to Military and Civilian Installations, Activities and Units; Policies and Procedures for
 - (j) SECNAVINST 4900.47, Mission and Functions of the Navy Office of Technology Transfer and Security Assistance
 - (k) SECNAVINST 5510.34, Manual for the Disclosure of Department of the Navy Military Information to Foreign Governments and International Organizations
 - (l) MCO 4000.52, Marine Corps Procedures for Processing Export Licenses
 - (m) MCO 5000.19, Establishment of the Marine Corps Systems Command
 - (n) SECNAVINST 5400.15, Navy Research, Development and Acquisition Responsibilities
 - (o) MCO 4105.4, Ground Weapons Systems/Equipment (WS/E) and Automated Information Systems (AIS) Life Cycle Logistics Support (LCLS) Policy

DISTRIBUTION STATEMENT A: Approved for public release;
Distribution is unlimited.

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- (p) MCO P4400.162B, Government-Furnished and Loaned Equipment/Material Management Manual
- (q) MCO 5510.16A, USMC Liaison with Representatives of Foreign Governments/Interests

1. Situation. The Secretary of State is responsible for the general direction of security assistance policy, as well as determining country eligibility to receive assistance. The Secretary of Defense is responsible for establishing security assistance policy and for administering and executing security assistance programs under the guidelines established by the Secretary of State. The objective of both agencies is to ensure security assistance programs support United States foreign policy and national security objectives.

2. Cancellation. MCO 4900.3

3. Mission

a. Security assistance is an umbrella term that includes groups of programs authorized by the Foreign Assistance Act of 1961, as amended, the Arms Export Control Act of 1976, as amended, and other related statutes. By means of these programs the United States provides defense articles, military training, and other defense related services to other countries or foreign organizations, by grant, loan, credit or cash sales, in furtherance of national policies and objectives. To the extent practical, security assistance requirements shall be integrated with other DoD requirements and implemented through the same DoD systems, facilities and procedures.

Security assistance programs are key elements in each of the Regional Unified Commanders' Theater Engagement Plans (TEPs). These programs support engagement by establishing potential coalition partners, assisting nation building efforts of current or potential allies, enhancing U.S. National Security interests, and developing regional expertise for U.S. military personnel. Sale of Marine Corps unique equipment, the provision of Marine Corps training and education, the deployment of training and education assistance teams, and the provision of combat development support to customer countries enhances interoperability, increases cultural awareness and language proficiency, and provides theater engagement. As such, the Marine Corps supports security assistance requirements consistent with the United States National Security Strategy (NSS), National Military Strategy (NMS), and the Unified Commander's and Marine Component Commander's Theater Engagement Plans.

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b. Assistance shall be:

(1) Based upon sound plans and programs to further U.S. national interests, policies, and objectives.

(2) Directed toward social and economic aspects of development.

(3) Responsive to efforts of recipient countries to mobilize their own resources and help themselves.

(4) Cognizant of external and internal pressures which hamper their growth.

(5) Used for long-range development of national security by the requesting country.

4. Execution

a. Commander's Intent and Concept of Operations

(1) Commander's Intent. The purpose of this order is to clarify the purpose and scope of Marine Corps security assistance and related international programs and their role in the execution of the NSS, NMS and the Unified Commander's TEP. Further, it provides guidance for the implementation, management, and conclusion of security assistance programs that support United States national security objectives in accordance with DoD directives and DoS goals. Such programs:

(a) Promote regional stability.

(b) Aid U.S. friends and allies in defense against regional threats to their security interests.

(c) Maintain U.S. defense alliances and related cooperative arrangements under changing requirements.

(d) Promote civilian control of the military and democratic principles.

(2) Concept of Operations. Security assistance programs are administered in consonance with related legislation enacted by Congress, applicable Executive Orders, DoS directives, other DoD directives, and instructions contained in references (a) through (q).

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(a) Security assistance incorporates the following six major programs:

1 - International Military Education and Training (IMET). Provides training to selected foreign military and defense associated civilian personnel on a grant basis.

2 - Economic Support Fund (ESF). Provides assistance on a loan or grant basis to selected foreign governments which are of strategic concern to the U.S. and have unique security challenges.

3 - Peace-Keeping Operations (PKO). Supports selected United Nations peacekeeping operations.

4 - Foreign Military Financing Program (FMFP). Identifies appropriations for distribution through either Foreign Military Sales (FMS) "grants" or "loans" to qualified foreign governments.

5 - Direct Commercial Sales (DCS) programs. Allows sales directly to foreign buyers that are not administered by the DoD through FMS procedures.

6 - Foreign Military Sales (FMS) and Foreign Military Construction Sales (FMCS) programs. Non-appropriated programs through which eligible foreign governments purchase defense articles, services, and training from the U.S. Government.

(b) In addition to those security assistance programs outlined in paragraph 2a above, the Marine Corps supports related international programs as well. Defense articles and services can be provided, at no cost to the recipient country, in support of FAA Section 506, Emergency Drawdown Authority. International training can be conducted as a result of funding from such other programs as: International Narcotics and Law Enforcement Affairs (INL) Program, and Section 1004 of the Defense Appropriations Act - Counter-Narcotics Program.

(c) Regulations and requirements governing official foreign visits, exchanges, and liaison with representatives of a foreign government involving substantive or technical discussions or when classified information will be discussed are contained in reference (q).

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b. Supporting Elements Missions. Security assistance efforts within the Navy and the Marine Corps are facilitated through the following agencies:

(1) Defense Security Cooperation Agency (DSCA). As noted in reference (a), DSCA was established as a separate agency of the DoD under direction of the Under Secretary of Defense for Policy. Some of the functions of DSCA include:

(a) Administer and supervise security assistance planning and programs.

(b) Coordinate formulation and execution of security assistance programs with other government agencies.

(c) Conduct international logistics and sales negotiations with foreign countries.

(d) Serve as the DoD focal point for liaison with U.S. industry with regard to security assistance activities.

(e) Manage the credit financing programs.

(f) Develop and promulgate security assistance procedures, such as the Security Assistance Management Manual (SAMM) (Reference (a)).

(g) Develop and operate the data processing system and maintain the Defense Security Assistance Management System (DSAMS) database for security assistance programs.

(h) Make determinations with respect to the allocation of FMS administrative funds.

(2) Navy International Programs Office (NIPO). Navy IPO is the principal agent responsible for security assistance matters within the Department of the Navy. This office is under the direction of the Assistant Secretary of the Navy for Research, Development, and Acquisitions (ASN, RD&A) and formulates Department of the Navy security assistance policy.

(3) Naval Inventory Control Point (NAVICP) International Programs Directorate. Devoted completely to security assistance, NAVICP manages, accounts for, and controls foreign requisitions for security assistance. All requisitions from international customers for Navy/Marine Corps cases are coordinated through NAVICP.

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(4) Navy Education and Training Security Assistance Field Activity (NETSAFA). As noted in reference (e), NETSAFA functions as the Chief of Naval Education and Training executive agent for execution of the U.S. Navy Security Assistance Training Program (SATP). Additionally, NETSAFA provides financial administration and automated data processing support to the Commanding General, Training and Education Command (CG TECOM), in the execution of the Department of the Navy Security Assistance Training Program (SATP).

(5) Direct Reporting Program Manager, Advanced Amphibious Assault (DRPM, AAA). In coordination with the Marine Corps Case Administering Office (CAO), serves as the point of contact for assigned Advanced Amphibious Assault Vehicles (AAAV) International Programs.

5. Administration and Logistics

a. Deputy Commandant for Plans, Policies and Operations (DC, PP&O). Acts as the coordinating and oversight authority for implementing Marine Corps policy in security assistance and technology transfer matters, thereby insuring security assistance is consistent with U.S. strategic plans; develops Marine Corps recommendations to the Joint Staff on policy and program aspects of security assistance. DC, PP&O:

(1) Reviews Marine Corps security assistance programs, and in coordination with the respective Marine Corps Component Commander, determines:

(a) Consistency with approved U.S. strategic objectives and the respective Unified Commander's TEP.

(b) Availability of assets and support.

(c) Impact on current and future operations.

(2) Participates in the preparation of joint plans, policies, studies and reports, pertaining to worldwide security assistance.

(3) Comments on JCS positions on matters of broad strategic trade policy and on policy actions related to technology transfers.

(4) Coordinates Marine Corps responses to General Accounting Office (GAO) inquiries on matters involving overall JCS responsibilities with regard to security assistance programs.

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(5) Provides Marine Corps comments on Joint Staff actions that affect security assistance manpower, and coordinates changes to the Joint Manpower Program (JMP) with the Deputy Commandant for Manpower and Reserve Affairs.

(6) Develops Marine Corps positions regarding disclosure of information in accordance with the National Disclosure Policy (NDP-1).

(7) Develops Marine Corps positions regarding DoN TTSARB decision memorandums.

(8) Coordinates and prioritizes international participation in Marine Corps PME courses.

(9) Coordinates the preparation and consolidation of Marine Corps Security Assistance Program administrative budgets. A copy of the budget will be forwarded to the Deputy Commandant for Programs and Resources to facilitate reimbursement of FMS administrative costs.

(10) Delegates responsibility for review and approval/disapproval of export licenses to the COMMARCORSYSCOM.

b. Deputy Commandant for Aviation (DC, AVN). In coordination with the DC, PP&O:

(1) Reviews aviation-related security assistance matters.

(2) Prepares and coordinates Marine Corps positions on aviation-related security assistance issues, technology transfers, and issues related to disclosure policy.

c. Deputy Commandant for Installations and Logistics (DC, I&L). In coordination with DC, PP&O:

(1) Reviews ground equipment-related security assistance matters.

(2) Coordinates and provides logistics policy guidance to COMMATCOM.

(3) In coordination with COMMATCOM, analyzes readiness impact of security assistance issues and initiatives.

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(4) In coordination with COMMATCOM certifies the availability/non-availability of assets in support of security assistance programs.

d. Assistant Deputy Commandant for Intelligence (A/DC, I). In coordination with DC, PP&O:

(1) Reviews intelligence-related security assistance matters.

(2) Prepares and coordinates Marine Corps positions on intelligence-related security assistance issues, technology transfers, and issues related to disclosure policy.

(3) Monitors all security assistance matters for counterintelligence concerns.

e. Deputy Commandant for Programs and Resources (DC, P&R). Provides administrative support for Marine Corps-related security assistance matters in accordance with references (a) through (e).

f. Staff Judge Advocate to the Commandant of the Marine Corps (SJA). Provides legal advice on security assistance and related international programs to include PME and small unit exchange programs.

g. Commanding General, Training and Education Command (CG, TECOM). CG, TECOM executes the management and implementation of the Marine Corps security assistance training and education program. This is accomplished through the Director, Coalition and Special Warfare Center (CSWC). In the execution of this responsibility, the Director, CSWC, on behalf of the CG, TECOM:

(1) Serves as the focal point for all Marine Corps security assistance training and education matters; coordinates with DoD, other government agencies, unified commands, Marine Corps component commands, Navy IPO, other services, other Marine Corps commands, activities and staff agencies regarding security assistance training and education. Coordinates with customer country representatives on matters regarding security assistance training and education and related international programs. Participates in other related international programs to include training authorized under 506 Drawdown authority.

(2) Manages and allocates international quotas to all Marine Corps schools and, on behalf of the Commandant, issues invitations to countries selected for attendance to the Marine Corps Command and Staff College.

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(3) In coordination with DC, PP&O, establishes policies and procedures for the Marine Corps security assistance training and education programs.

(4) Executes the Marine Corps Security Assistance Training and Education Program in accordance with applicable policies and procedures.

(5) Reviews customer country requests for Marine Corps security assistance training and education and determines availability of training. In coordination with the Marine Corps Component Commander and HQMC (DC, PP&O), determines the appropriateness of the request.

(6) Represents the Marine Corps during security assistance Training Program Management Reviews (TPMR) conducted by unified commands. Participates in other conferences or workshops where security assistance training and education or related issues are involved; chairs periodic program reviews and site surveys, and conducts liaison with country team security assistance personnel relating to Marine Corps security assistance training and education.

(7) Develops price and availability data, establishes pricing factors, and coordinates reimbursable billings for all Marine Corps security assistance training and education or related international programs; establishes course costs, and, on a periodic basis, conducts a review of these costs to verify accuracy, making modifications as required.

(8) Acts as Case Administering Office (CAO) for FMS cases that support deployed Marine Corps training assistance teams and for other FMS training related cases as designated by Navy International Programs Office. Is authorized direct liaison with Navy IPO on CAO matters. Functions as Letter of Offer and Acceptance (LOA) manager for all Marine Corps FMS training cases; prepares and submits training data for MARCORSYSCOM managed FMS cases with a USMC training line; coordinates all LOAs relating to Marine Corps training and education; prepares and submits data required by Navy IPO for preparation of LOAs which have a preponderance of USMC training and education. For all such cases, maintains fiscal control and program manager responsibilities for Marine Corps training and education programs.

(9) Coordinates with supporting component commands to organize, equip, and ensure the appropriate level of predeployment training is conducted for security assistance training and

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education teams. This includes teams that may be funded by Department of State Bureau of International Narcotics and Law Enforcement (INL), country team Narcotics Assistance Section (NAS), or funding from the Nonproliferation, Antiterrorism, Demining and Related Matters (NADR) program. Additionally, this will include teams deployed under Presidential Drawdown Authority as provided for in Section 506 of the Foreign Assistance Act. Prior to deployment, certifies to DC, PP&O and the supported Marine Corps Component Commander, that each team meets in-country and theater deployment criteria. Coordinates support for and redeployment of such teams, to include the necessary funding arrangements.

(10) Provides Marine Corps portion of the Military Articles and Services List (MASL) pertinent to Marine Corps training and education; conducts an annual review of Marine Corps courses listed in the MASL to verify accuracy, availability, and appropriateness for international participation.

(11) Coordinates, implements and supervises execution of the Marine Corps Informational Program; reviews and approves all Marine Corps command Informational Program plans and budgets; approves waivers as appropriate.

(12) Coordinates, when necessary, security assistance training orientation tours within CONUS involving Marine Corps commands and activities.

(13) Determines annual out-year international requirements for Marine Corps training and education, including requirements requested through other services; ensures international training and education requirements are included in development of the Marine Corps Training Input Plan (TIP); accomplishes required programming actions in the DoN Student Training Analysis and Tracking Information System (STATIS) or, when implemented, the Defense Security Assistance Management System (DSAMS) as well as the Marine Corps By-Name Assignment System (BNA).

(14) Coordinates disclosure and releasability of Marine Corps training and education and related materials; on an annual basis, conducts a review of all classified Marine Corps training and education materials and forwards the results to DC, PP&O who will in turn staff for changes in disclosure and releasability.

(15) Ensures Marine Corps commands and activities providing training and education to international students appoint an International Military Student Officer (IMSO) Manager;

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coordinates training of Marine Corps IMSO Managers; conducts direct liaison with Marine Corps training and education command elements and their designated IMSO Managers, as well as other command or activity IMSO Managers, to provide guidance and responses to queries on the execution of security assistance training and education.

(16) Conducts the Marine Corps portion of the DoN IMSO workshop; coordinates Marine Corps IMSO Manager attendance.

(17) Prescribes and coordinates required training for all Marine Corps personnel involved in the management or execution of security assistance and related international programs; manages and allocates Marine Corps quotas for the Defense Institute of Security Assistance Management (DISAM).

(18) In coordination with the appropriate command or activity, establishes prerequisites for international students attending Marine Corps training and education programs. These include, but are not limited to, English Comprehension Level (ECL) and Oral Proficiency Interview (OPI) requirements, rank requirements, physical fitness (to include swimming qualification) requirements, and other training or education courses that must be complete prior to enrollment. Coordinates, reviews and approves, as appropriate, customer country requests for waivers.

(19) Ensures all Marine Corps commands and activities providing international training, including contractor training coordinated through COMMARCORSYSKOM. Provide required reports documenting training offered to international students; ensures training data is appropriately recorded in the DoN STATIS or, when implemented, DSAMS, as well as the Marine Corps BNA System.

(20) Prepares command security assistance training budget requirements and forwards to DC, PP&O for consolidation.

(21) Develops, maintains, and promulgates the *Marine Corps Security Assistance Education and Training Desktop Guide*, and other informational publications as required; develops and maintains an appropriate site on the World Wide Web for Marine Corps security assistance training and education information.

(22) Through the application of the combat development process, and in coordination with the Marine Corps Component Commander, assists customer countries in the definition and identification of warfighting requirements; upon validation of these requirements, assists those countries in the planning and execution of programs to permit them to resource, train, and field an appropriate force.

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h. Commander, Marine Corps Materiel Command (COMMATCOM). In coordination with DC, I&L:

(1) Coordinates and provides logistics policy and guidance to COMMARCORSYSCOM and COMMARCORLOGBASES.

(2) Analyzes readiness impact of security assistance issues and initiatives.

(3) Certifies the availability/non-availability of assets in support of security assistance programs.

i. Commander, Marine Corps Systems Command - International Programs (COMMARCORSYSCOM-IP). As directed by references (m) and (n), COMMARCORSYSCOM is responsible for all functions involving Marine Corps systems acquisition and the life-cycle management of those systems. In the execution of those functions relating to international programs, COMMARCORSYSCOM:

(1) Plans, coordinates, implements, and executes all Marine Corps related security assistance acquisition and logistics matters, procedures, instructions, technology transfer programs, disclosure of classified information requests, and technical data packages to provide military assistance to friendly foreign governments.

(2) Acts as Case Administering Office (CAO) for the Marine Corps Systems Command and is authorized direct liaison with the Navy International Programs Office on CAO matters.

(3) Coordinates and reviews leases of Marine Corps equipment to foreign countries and selected international co-production programs related to Marine Corps equipment.

(4) Reviews and recommends approval/disapproval of DoS and Department of Commerce munitions and commodities export licenses.

(5) Chairs periodic program reviews and site surveys and conducts liaison with military assistance groups relating to Marine Corps security assistance acquisition and logistics matters.

(6) Provides total case management from assignment through reconciliation and case closure.

(7) Negotiates and concludes Cooperative Logistics Supply Support Arrangements (CLSSA) with foreign governments and prepares

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Service to Service implementing procedures regarding logistics support for Marine Corps weapons systems and equipment. Coordinates follow-on logistics support requirements with COMMARCORLOGBASES.

(8) Exercises direction and control over assigned FMS acquisition programs and related activities.

(9) Exercises fiscal authority and responsibility over assigned FMS cases.

(10) Prepares and submits to DC, PP&O the MARCORSYSCOM portion of the FMS administrative program budget.

(11) Coordinates on-the-job training (OJT), contractor training, factory training, and nonstandard training provided by MARCORSYSCOM under an FMS case with CG, Training and Education Command.

(12) Provides initial releasability recommendation of end items requested through FMS. In coordination with the Foreign Disclosure Office (IOC), A/DC, I, provides determination of releasability of classified and unclassified end items and associated information requested through FMS.

(13) Participates in other related international programs to include 506 Drawdowns, Excess Defense Articles, co-production and international agreements, and others as directed.

(14) Exercises overall command responsibility for data input into the Management Information System for International Logistics (MISIL) and the Defense Security Assistance Management System (DSAMS).

(15) Coordinates Marine Corps proposals for Non-Developmental Item (NDI) Foreign Comparative Testing (FCT).

(16) Manages and executes the Marine Corps Repair and Return Program.

(17) Coordinates foreign visit requests with COMMARCORLOGBASES, Albany and Barstow.

(18) Coordinates Defense Institute of Security Assistance Management training requirements for COMMARCORLOGBASES, Albany and Barstow.

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(19) Provides Marine Corps publications through the Marine Corps Publications Distribution System.

j. Commander, Marine Corps Logistics Bases
(COMMARCORLOGBASES)

(1) As weapon systems manager for fielded weapon systems, provides specific Life Cycle Logistics Support functions. Reference (o) applies.

(2) Develops and executes the Master Work Schedule based on criteria agreed to by COMMARCORSYSCOM and COMMARCORLOGBASES.

(3) Upon approval from DC, I&L, and in coordination with COMMARCORSYSCOM, authorizes the release of war reserve materiel for FMS or related international programs.

(4) Serves as inventory control point for all Marine Corps managed Stores Account Codes 1, 2, and 3 items.

(5) Prepares and forwards the Logistics Support Elements (LSE) portion of the FMS Administrative budget to DC, PP&O.

(6) Prepares Price and Availability (P&A)/Letters of Offer and Acceptance (LOA) data.

(7) Prepares equity lists for specific weapon systems.

(8) Provides contracting support for FMS direct delivery buys.

(9) Provides Depot Maintenance/contracting support for the repair and return program.

(10) Acts as Marine Corps focal point for resolution of Supply Discrepancy Reports (SDRs).

(11) Provides financial oversight for administrative and case funds allocated to COMMARCORLOGBASES.

(12) If available, and when requested, provides storage in support of FMS cases.

(13) Provides Marine Corps specific automated data processing support.

(14) Provides security assistance support as directed.

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(15) Provides and supports assigned follow-on logistics support programs.

(16) Per reference (p), serves as the Management Control Activity for all Marine Corps assets loaned/leased to foreign governments.

(17) Maintains a Security Assistance Liaison Office (Code 819) to support COMMARCORLOGBASES.

k. Commander, U.S. Marine Forces Atlantic (COMMARFORLANT), Commander, U.S. Marine Forces Europe (COMMARFOREUR), Commander, U.S. Marine Forces Pacific (COMMARFORPAC), Commander, U.S. Marine Forces Central Command (COMUSMARCENT), and Commander, U.S. Marine Forces South (COMMARFORSOUTH)

(1) As directed by the unified commander, coordinate inclusion of Marine Corps security assistance and related international programs capability in the development of the regional TEP; provide Marine Corps security assistance policy and execution commands with theater engagement guidance and prioritization.

(2) When requested by DC, PP&O, and within capabilities and resources, support the formation and deployment of Marine Corps security assistance training and education teams; provide ADCON, OPCON, command, or designated support (such as administrative support) to security assistance training and education teams as required.

(3) When feasible, participate in Security Assistance TPMRs or other conferences or workshops where security assistance training and education or related international program issues are involved.

(4) Coordinate and prioritize customer country requests for Marine Corps PME with appropriate elements of the supported unified commands prior to that command's submission to the Marine Corps for consideration.

(5) When requested by DC, PP&O, facilitate execution of Marine Corps security assistance programs with customer countries within their Unified Commander's area of responsibility.

l. Commanding General, Marine Forces Reserve (CG, MARFORRES). When requested by DC, PP&O, and within capabilities and availability of resources, support the formation and deployment of Marine Corps security assistance training and education teams; support may include personnel and administrative support.

MCO 4900.3A

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6. Command and Signal

a. Signal. Marine Corps commands and activities involved with the implementation of any security assistance or related international programs will adhere to applicable reporting procedures identified in reference (a).

b. Command. This Order is applicable to the Marine Corps Reserve.



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