DEPARTMENT OF THE NAVY
HEADQUARTERS UNITED STATES MARINE CORPS
3000 MARINE CORPS PENTAGON
WASHINGTON, DC 20350-3000

MCO 5430.1
IGA
13 NOV 2006

MARINE CORPS ORDER 5430.1

From: Commandant of the Marine Corps
To: Distribution List

Subj: MARINE CORPS INSPECTOR GENERAL PROGRAM

Ref: (a) SECNAVINST 5430.57G
(b) 10 USC § 5014
(c) 10 USC § 5042(b)(2)
(d) SECNAVINST 5370.5B
(e) Marine Corps Manual
(f) SECNAV M-5210.1

1. Situation. This order establishes policy and responsibilities governing the Marine Corps Inspector General Program (IGP).

2. Mission. This Order establishes the Marine Corps IGP, creates the position of Command Inspector General (CIG) in major subordinate commands (MSC), and identifies CIG mission and functional responsibilities.

3. Execution

a. Commander's Intent and Concept of Operations

(1) Commander's Intent

(a) It is Marine Corps policy to maintain the highest level of readiness, effectiveness, discipline, efficiency, integrity, and public confidence. Candid, objective, and uninhibited internal analysis of the management, operation, and administration of the Marine Corps is essential to achieve this objective. All inquiries into matters affecting the integrity, efficiency, discipline and readiness of the Marine Corps shall be conducted in an independent and professional manner, without command influence, pressure, or fear of reprisal from any level within the Marine Corps. All non-frivolous allegations of misconduct shall be thoroughly and impartially investigated and reported.

(b) The Deputy Naval Inspector General for Marine Corps Matters (DNIGMC)/Inspector General of the Marine Corps (IGMC) was created by reference (a) under the authority of reference (b). The DNIGMC/IGMC is located within the Office of the Naval Inspector General and is organized to provide inspector general (IG) functional support to the Secretary of the Navy (SECNAV) and Commandant of the Marine Corps (CMC). Reference (c) requires Headquarters Marine Corps (HQMC), acting under the authority, direction, and control of SECNAV, to investigate and report upon the efficiency of the Marine Corps and its preparation to support military operations by combatant commands. References (a) and (d) grant the authority for the Marine Corps to establish centralized direction for IG functions, processes and procedures.

DISTRIBUTION STATEMENT A: Approved for public release, distribution is unlimited.

(c) Reference (e) states that the sphere of interest of the IGMC is broad, with particular emphasis on all matters affecting overall readiness. With this in mind, the Marine Corps IGP is established to promote institutional standardization of the IG mission, functions, processes, and procedures throughout the Marine Corps.

(d) The IGMC is designated the Marine Corps IGP manager.

(e) Reference (d) established the Department of the Navy (DON) policy to use the title of "Inspector General" for all Marine Corps organizations performing IG functions. Accordingly, to comply with DON policy and to support the Marine Corps IGP, all MSCs, commanded by a general officer, will establish the special staff officer billet of Command Inspector General (CIG). Although CIGs work for and are responsible to their commander, CIGs are also to be considered a functional extension of the IGMC with an important role in the Marine Corps IGP.

(2) Concept of Operations

(a) The Marine Corps IGP is composed of the IGMC, IGMC staff personnel, CIGs, and CIG staff personnel.

(b) The mission and functions of the IGMC are detailed in references (a) and (d).

(c) The mission of CIGs includes the following responsibilities:

1. Conducting inspections, investigations, or inquiries into any and all matters of importance within a command with particular emphasis on readiness, including, but not limited to: effectiveness, efficiency, discipline, morale, economy, ethics and integrity.

2. Analysis of information obtained through investigations and inspections to identify areas of weakness within a command that relate to matters of discipline, integrity, efficiency, and readiness and to provide appropriate recommendations for improvement.

3. Receipt of allegations of inefficiency, misconduct, impropriety, mismanagement, or violations of law, and investigate or refer such matters for investigation as the commander deems appropriate.

4. Serving as principal advisor to the commander on all inspection and investigation matters.

(d) CIG functions include responsibility to:

1. Conduct inspections, readiness assessments, and surveys and make appropriate evaluations, reports and recommendations concerning the command.

2. Conduct area visits and make appropriate evaluations, reports, and recommendations.

3. Establish objectives for, coordinate, and monitor inspection programs in conjunction with appropriate commanders or supervisory authorities.

4. Coordinate the efforts of organizations engaged in the periodic evaluation of effectiveness, efficiency, integrity, and economy in order to minimize duplication and the number of necessary inspections imposed on any unit or activity.

5. Initiate and conduct investigations into any matters within the command as directed by the IGMC, the commander, or as otherwise deemed appropriate by the CIG.

6. Serve as an official to whom, as an alternative to the normal chain of command channels, Marines, Sailors, and civilian personnel may lodge complaints and provide facts without fear of reprisal concerning: violations of law, rules, or regulations; fraud, waste, or inefficiency; abuse of authority or other misconduct; and other matters that reasonably can be expected to be of interest to the CMC, IGMC, or commander, in order to ensure appropriate inquiry and management action.

7. Cooperate fully with the IGMC as required by references (a) and (d).

8. Maintain, in conjunction with the IGMC, oversight in the intelligence area and nonintelligence (special activities) to ensure Marine Corps compliance with applicable Executive Orders and make reports as required.

9. Coordinate the inspection program aspects of the Marine Corps Environmental Protection and Occupational Safety and Health Programs, conduct oversight inspections of command activities for compliance with these programs, and make reports as required.

10. Serve as the Marine Corps Hotline Program and Whistleblower Protection Act manager excluding all acquisition fraud, which is managed by Assistant General Counsel (AGC) (AI), the DON coordinator for all acquisition fraud issues.

11. Inspect, investigate, or inquire into matters of importance concerning fraud, waste, inefficiency and related improprieties, excluding those matters under the responsibility of the ACG (AI).

12. Act as the command action officer for congressional inquiries concerning matters under the purview of the IGMC.

b. Subordinate Element Missions

(1) The IGMC is responsible for the development and promulgation of other implementing instructions and policy documents required to support the overall effective management of the Marine Corps IGP.

(a) Marine Corps Hotline Program.

(b) Marine Corps Inspection Program.

(c) Marine Corps Readiness Assessment Program.

(d) Intelligence Oversight Program.

(e) Request Mast instructions.

(f) IGMC website.

(g) IG Online Database & Inspector Network (ODIN).

(2) CIGs are responsible for compliance with this Order, other implementing instructions, and IGMC policy documents supporting the Marine Corps IGP. CIGs will also promulgate local implementing instructions, as necessary, to effectively support the Marine Corps IGP.

c. Coordinating Instructions

(1) Definitions:

(a) "Area Visit" means an inspection within a specific geographic location that focuses on evaluating specific functions within the Marine Corps.

(b) "Assessment" means actions that test the efficiency of a program via review of the standards and/or orders to determine if it achieved the intended results.

(c) "Audit" means an official examination or verification by an audit organization of an organization's programs, operations, or financial records.

(d) "Examination" means, in generic terms, any of the following actions: area visits, assessments, audits, inquiries, inspections, investigations, reviews, and surveys.

(e) "Inquiry" means an investigation, inspection, assessment, or audit performed in support of or at the request of the IGMC, or any other type of review when used to describe the fact-finding process in response to a hotline or other referral.

(f) "Inspection" means any effort to evaluate an organization or function by any means or method, including special visits, technical inspections, special one-time inspections, command assessments, inspections required by law or for the exercise of command responsibilities, and inspections conducted by higher headquarters staff.

(g) "Investigation" means any form of examination into specific allegations of wrongdoing or misconduct.

(h) "Marine Corps organization performing IG functions" means any entity, at any level within the Marine Corps, that is tasked to perform one or more of the functions within the scope of this Order.

(i) "Readiness Assessment" means those actions dealing with conducting evaluations to validate the preparedness status of subordinate organizations based on their mission, structure, equipment, or other areas of importance.

(j) "Review" means the evaluation of organizational processes and procedures in view of standing orders, policies, documentation, and other evidence with the purpose of reporting on the efficiency of a program, or more clearly defining an issue.

(k) "Survey" means the process of gathering information, without detailed verification, on an entity or function being investigated or inspected, for the purpose of identifying problem areas warranting additional review or to obtain information for use in planning and accomplishing an investigation or inspection.

(2) All Marines, Sailors, and civilian personnel shall respond to any request or inquiry by the IGMC as if made by the SECNAV or CMC.

(3) The IGMC is authorized to task any inspection, investigative, or audit organization within the Marine Corps to provide such support as the IGMC determines may be required to assist the IGMC in the performance of its mission and functions. Costs associated with such support will be provided by the IGMC. Funding requirements for augmentees under this paragraph will be estimated and requested from CMC (PR) through the annual Program Objective Memorandum (POM) process at HQMC by the IGMC.

(4) IG personnel shall have unrestricted access to all persons, unclassified information, and spaces within their respective commands the CIG deems necessary to accomplish IGMC taskings. Subject to compliance with Marine Corps requirements for handling classified material, CIG personnel shall be provided copies, in an appropriate form, of all recorded information the CIG deems necessary to accomplish the IGMC mission.

(5) IG personnel bearing credentials shall not be routinely required to sign in or out of, or to obtain other identification for entry or access to Marine Corps installations, commands, or ships. Personnel properly identifying themselves as credentialed representatives of the IGMC shall, in the course of official business, be exempt from all routine searches of their person, briefcases, other possessions and materials used by them, their vehicles, and all occupants therein.

(6) IG personnel bearing credentials may administer oaths and take testimony under oath.

(7) When a Marine Corps organization performing IG functions determines it inappropriate to inquire into a matter due to the existence or appearance of a conflict of interest, bias, prejudice, or other circumstance that may place the independence or impartiality of the inquiry in doubt, it shall refer the matter to the next highest office in the chain of command with a CIG.

(8) This Order shall be construed to avoid interfering with other independent authorized investigations, such as courts of inquiry or investigations under the authority of the Uniform Code of Military Justice or the Manual of the Judge Advocate General, and criminal investigations conducted by the Naval Criminal Investigative Service (NCIS).

4. Administration and Logistics

a. The IGMC is responsible for:

(1) Training guidelines for IG personnel.

(2) Certification and credentialing criteria for IG personnel.

(3) Oversight procedures for the Marine Corps IGP.

(4) Manning guidelines for IG offices.

b. Commands with CIGs are responsible for:

(1) Training of IG personnel.

(2) Proper certification of IG personnel.

(3) Adequate manning to support the IGP mission.

c. IGMC and commands with CIGs are responsible for ensuring all working papers and documents associated with paragraph 3b(1) shall be kept in accordance with reference (f).

5. Command and Signal

a. Command. This Order is applicable to the Total Force Marine Corps.

b. Signal. This Order is effective upon the date signed.

R. MAGNUS
Assistant Commandant
of the Marine Corps

DISTRIBUTION: PCN 10210171800

Copy to: 7000260 (2)
7000144/8145001 (1)